



SQF

Safe Quality Food
Food Safety Certification

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SQF Institute

Globally Trusted Food Safety and Quality Certification

Discussion Points

- Overview of SQF
- Getting started with SQF
- Details on the Certification Bodies in SQF
- SQF Technology



How SQF evolved



1994	1 st SQF 2000 Certificates Issued	1998	SQF 1000 released	2001	SQF Institute Established (FMI)	2004	•SQF 1000 GFSI Endorsed	•Revisions to Codes •SQF database
SQF 2000 Concept •Produce •Animal Production •Manufacturing	1995	Asia Pacific; USA	2000	First SQF Certificates in The Netherlands	2003	•SQF 2000 GFSI Endorsed •Mexico office established	2005	•Develop Partnerships IFS / Global Gap
			First SQF Certificates in Japan					
								2008

The SQF Codes

Both Standards have been
benchmarked against the GFSI
ARE NOT AUDIT CHECKLISTS!
Benchmarking Document at
Level 2



SQF 1000 – HACCP
based supplier
assurance standard
for primary producers

SQF 2000 – A HACCP
supplier assurance
standard for the
manufacturing sector

The Codes in Outline

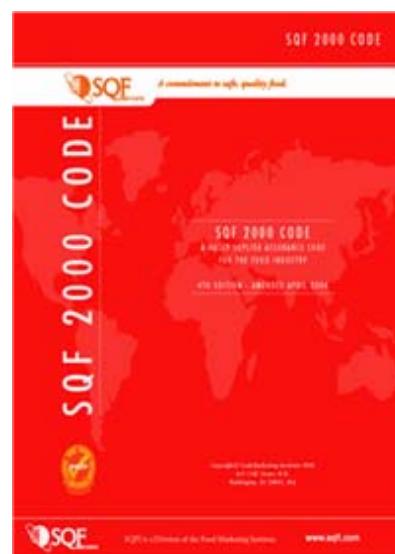


Primary Producer



- **4.0 SQF System Requirements**
 - 4.1 Commitment
 - 4.2 Documentation and Records
 - 4.3 Specification and Product Development
 - 4.4 Attaining Food Safety
 - 4.5 Verification
 - 4.6 ID/Trace/Recall
 - 4.7 Site Security
 - 4.8 Identity Preserved Foods
- 5.0 Food Safety Fundamentals
- 6.0 Pre-requisite Programs
- 7.0 Requirements for Hermetically sealed containers
- (SQF 2000 only)

Three levels of certification

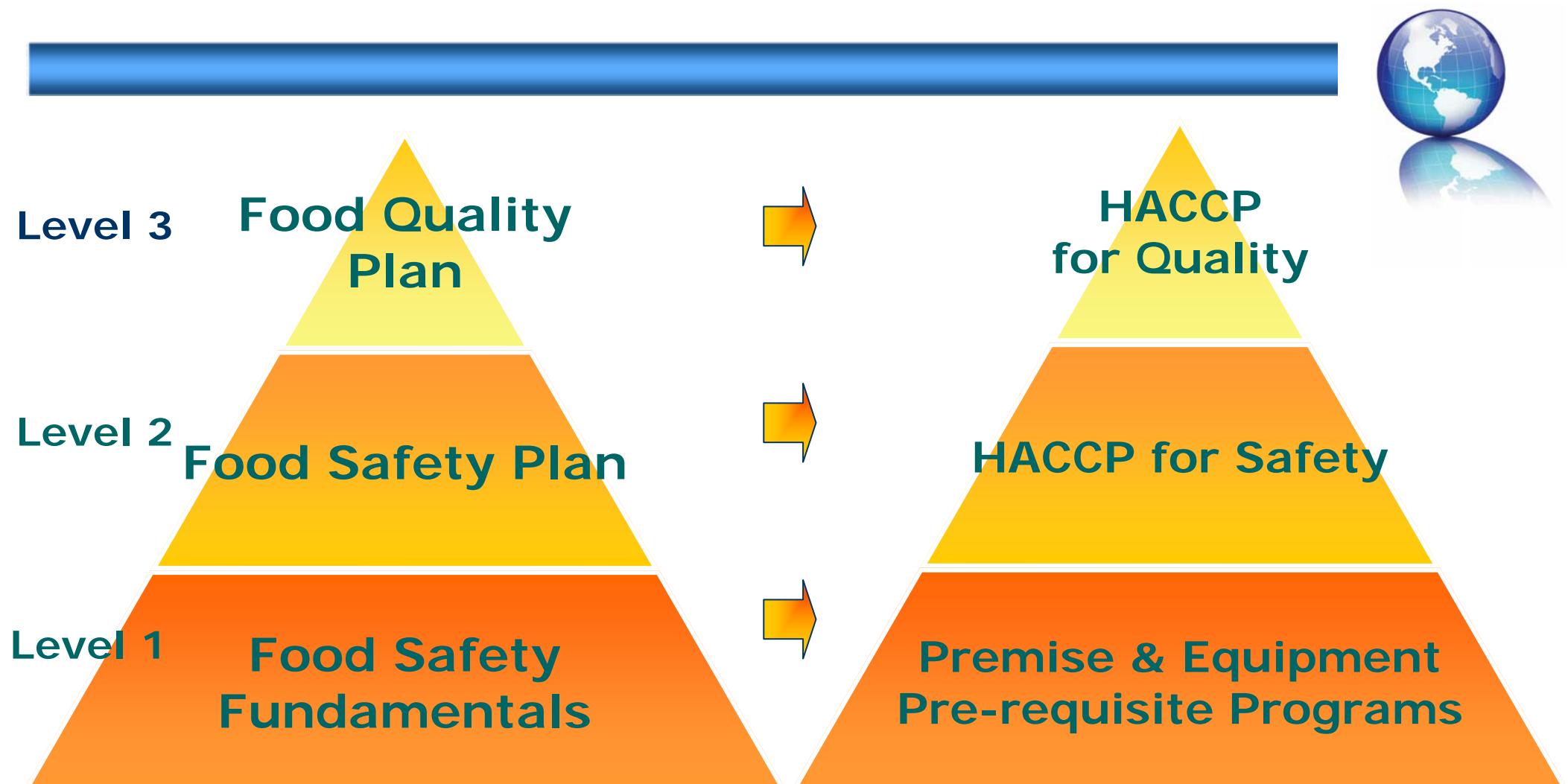


Manufacturer





The SQF Program



Management of SQF Program



- SQF Program is owned by Food Marketing Institute (FMI)
- SQF Program is operated by the SQF Institute, a division of FMI
- Stakeholder input and oversight
 - Technical Advisory Council (TAC) – made up of segments from all stakeholders in industry (retailers, foodservice, suppliers, service providers)
 - Technical Sub-committees – stakeholder groups which develop documents for review by the TAC

Recent Updates to SQF



- Strong Growth in 2009
 - Growth of certifications in North America has grown by over 400% in 2009 to over 2500 certificates
 - Australia growth at over 20% to over 1800 registrations
 - Overall growth at 130% globally
 - SQF certificates now in 29 countries
 - SQF has now registered 357 auditors
- Improvements in Audit Reports
 - Timelines – audit reports now due to supplier in 5 days, certificates in 45 days
 - Comments – every question must have justification of rating given

SQF Program Updates (cont)



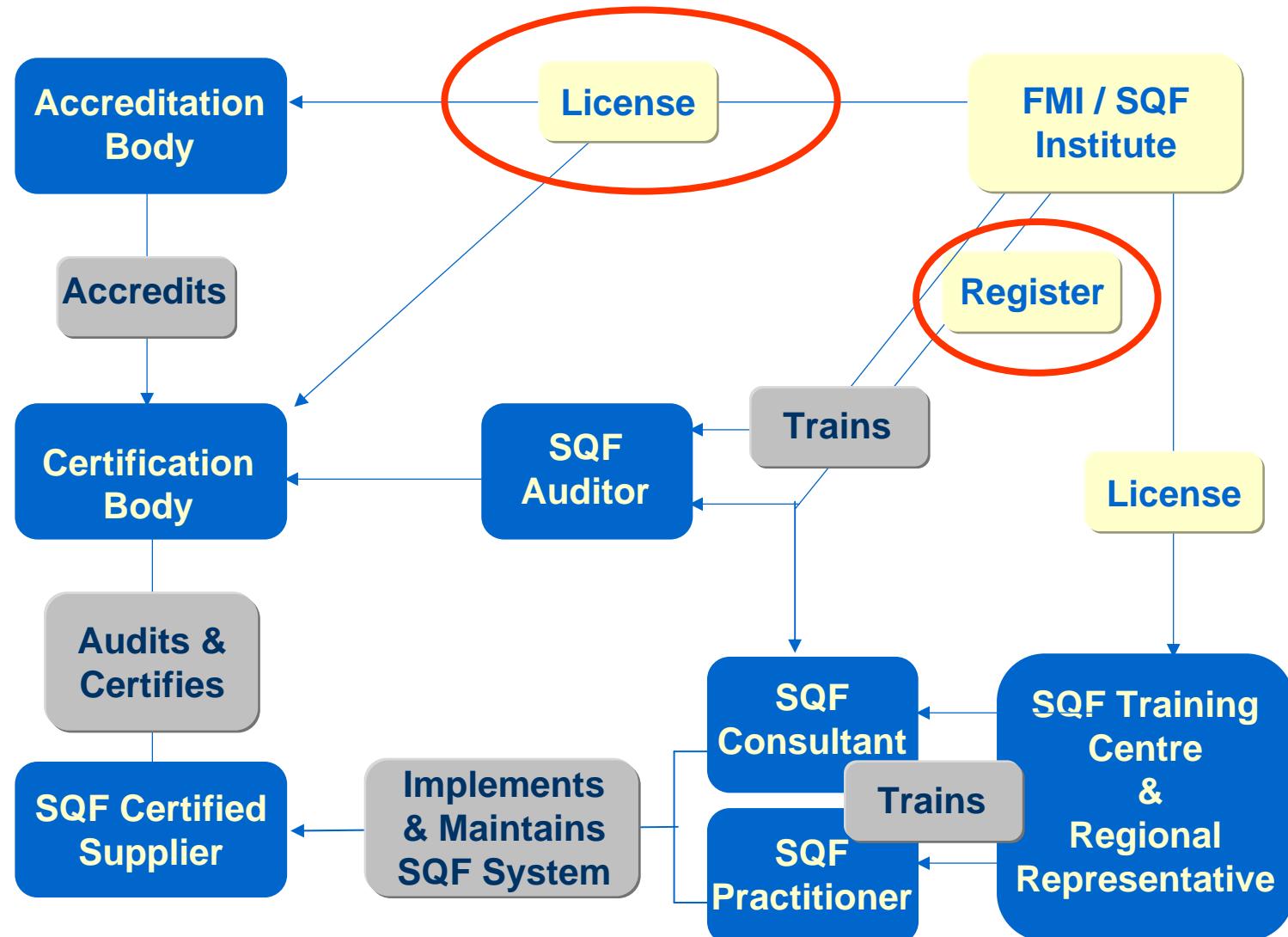
- SQF 1000 5th edition posted August, 2009
 - Category 4 available for both 1000 and 2000
- Final Criteria Document for Certification Bodies posted August, 2009
 - Working with both ABs on uniform assessment tool
- ICIX Interface – executive summary
 - March 2010

SQF Program Updates (cont)



- On-line Training / Exams
 - Implementing SQF 2000 Systems available
- Electronic application submission
 - September 1st launched for all individual registrations and re-registrations
- Ethical Sourcing Module – available March 2010

Managing the SQF Program



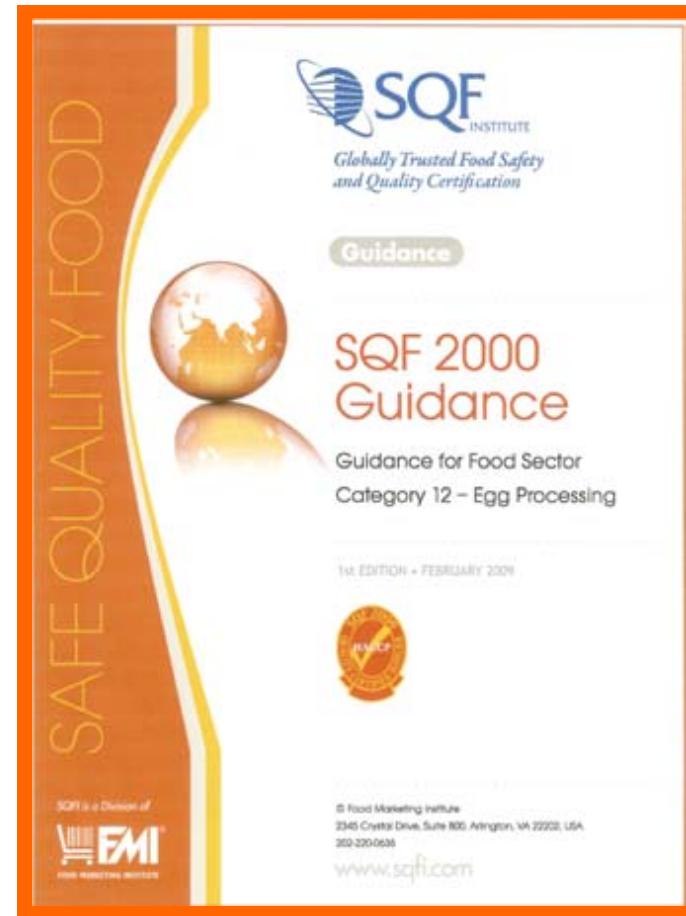
Food Sector Categories (FSC)



- For registration purposes, we have divided the food industry into 35 food sector categories (FSC)
- SQF registers auditors and consultants based on their work experience and expertise in specific food sector categories
 - Example: Auditor - 5 years work experience or 20 audit days in high risk processes per FSC
- This ensures that only auditors and consultants with demonstrated expertise can perform requested service

Guidance Documents for SQF

- General Processing Requirements
- Produce Packing House (FSC 4)
- Shell Egg (FSC 12)
- Transport and Distribution (FSC 25 & 26)
- Packaging (FSC 27)
- To begin in Q1 of 2010
 - Dairy (FSC 10)
 - Brokers (FSC 35)



Solution for Sustainability – SQF Modules

- Responsible Social Practices
- Responsible Environmental Practices
- Ethical Sourcing
- Food Defense



Certification Bodies for SQF in NA

- AIB
- Cert - ID
- DNV
- Eagle Registrations
- GFTC
- NCSI
- NSF International
- QMI SAI Global
- SCS
- SGS
- Silliker
- Stertitech
- TUV SUD America



SQF Technology Updates



- User friendly web-site
- On-line examination
- On-line training materials
- SQF application submission and processing
- SQF Quickfire (audit database)



<http://www.sqfi.com/>

Crawler Search



File Edit View Favorites Tools Help

Quick Search [F3] Type your search here...

Search web

Look for Maps

Services (16)

Customize

Toolbar

Crawler

Star SQF :: Safe Quality Food Institute

Home Print Page Tools

Globally Trusted Food Safety and Quality Certification



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Suppliers

Buyers

Certification Bodies
and Auditors

Training Centers
and Consultants

To find more
details on SQF
program, visit
www.sqfi.com

Welcome to the SQF Institute

The SQF (Safe Quality Food) Institute is a division of the Food Marketing Institute (FMI), established to administer the SQF Program, a leading, global food safety and quality certification and management system. The Program provides independent certification that a supplier's food safety and quality management system complies with international and domestic food safety regulations. This enables suppliers to assure their customers that food has been produced, processed, prepared and handled according to the highest possible standards, at all levels of the supply chain.

To learn more, click on the globe that most appropriately describes your function.

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<http://www.sqfi.com/>

SQF :: Safe...

Microsoft E...

<http://www.sqfi.com/>

Microsoft W...

FMI - remot...

SQF 4 hour ...

A7 SQF Aud...

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SQF On-line Training



- SQF Exams
 - SQF Core
 - SQF Auditor
 - SQF Implementing 2000
 - SQF Implementing 1000 (under development)
- SQF On-line Courses
 - SQF Core Modules
 - SQF Implementing 2000
 - SQF Implementing 1000 (under development)

SQF Core Modules On-line Training



SQF Systems Training Course (02:29 / 07:44)

Outline Notes

Course Introduction
Before You Begin
Welcome
Purpose
Overview of the Core Modules Training Sessions
SQF
Training Goals
Pre-Requisite Course Requirements
Evaluation of the Training Program
SQF On-line Exams
Training Sessions Exams
Current Exams Available
Good Luck

Overview of the Core Modules Training Sessions

ATTACHMENTS EXIT

C-1 Introduction & Overview of the Course

C-2 About Quality, Customers & the Cost of Quality

C-3 The SQF Codes, Food Sector Categories, SQF Vocabulary, Use of the Logo, SQF Practitioner/Consultant

C-4 Implementing and Maintaining SQF Systems

C-5 Auditing & Certification of SQF Systems – a Supplier Overview

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SLIDE 5 OF 13 PLAYING 00:19 / 00:58

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Done

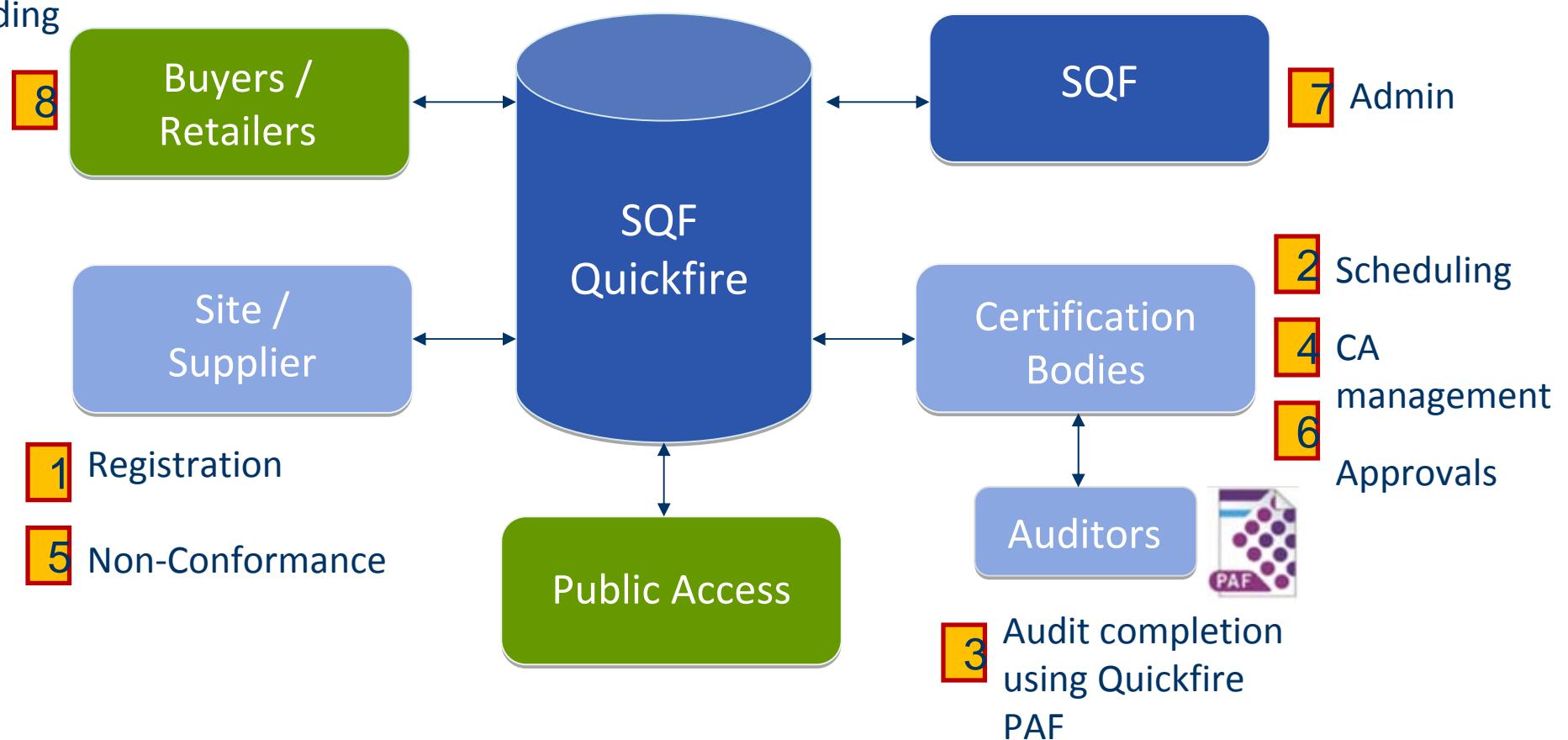
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Stakeholder Access to SQF Quickfire

Audit Results visibility Data analysis and trending



My Work

My Work - [IE 7.0] - Windows Internet Explorer

http://quickfireonline.mbdevweb:100/FrmWork.aspx

My Work - [IE 7.0] Audit Groups - [IE 7.0]

Welcome, sysadmin

SQF SQFi Quickfire [Beta 1]

My Work

My Work

- My Work
- Admin
- Assessors [11]
- Sites [4]
- Workshops [0]
- Cert. Bodies [7]
- Reports [1]
- Training [0]

Audits

	Status	Total
	Not Started	0
	In Progress	1
	Under Review	1
	Total	2

Audit Groups

	Status	Total
	Not Started	0
	In Progress	0
	Under Review	2
	Total	2

Open Non Conformances

	Response	Total
	Critical	1
	Major	2
	Minor	2
	Total	5

Approvals

	Status	Total
	Other	0
	Pending	2
	Suspended	0
	Withdrawn	0
	Renewals Due	2

User Access

	Status	Total

Workshop Allocation

	Workshop	Required	Available

PAF Reader > SQF Audit

Quickfire PAF Reader [SQF2000 Document Audit L3 v1.0.paf]

File English

Submit

Start Page Response Corrective Actions Site Details Reports

(Other)
4.2.2.ii-iv Is there a documented procedure outlining who is responsible for raw material ingredient inspection and analyses, evaluation, approval, monitoring and follow up action related to Approved Suppliers and do methods of analysis conform to recognized standards?

Compliant Compliant with OIP Minor Major Critical Not Audited

Question

Primary Response

Secondary Responses

Guidance

Code Requirement: ii. Records of inspections and analyses shall be maintained. iii. The Supplier shall document a procedure outlining the; a. Responsibility for raw material inspection and analyses; and b. Evaluation, approval, monitoring and follow up action related to Approved Suppliers. iv. All methods of analysis shall conform to recognized standards. Guidance: You must require raw material Suppliers to verify they are complying with your specifications by providing results of analyses (microbiological, chemical, etc.) of the products they supply to you. You are required to demonstrate that methods of analyses conform to recognized industry standards. The

Navigation Tree

PAF Reader > Corrective Actions

Quickfire PAF Reader [SQF2000 Document Audit L3 v1.0.paf]

File English

Submit

Start Page Response Corrective Actions Site Details Reports

Assignee Position: Technical Director

Site Accepts:

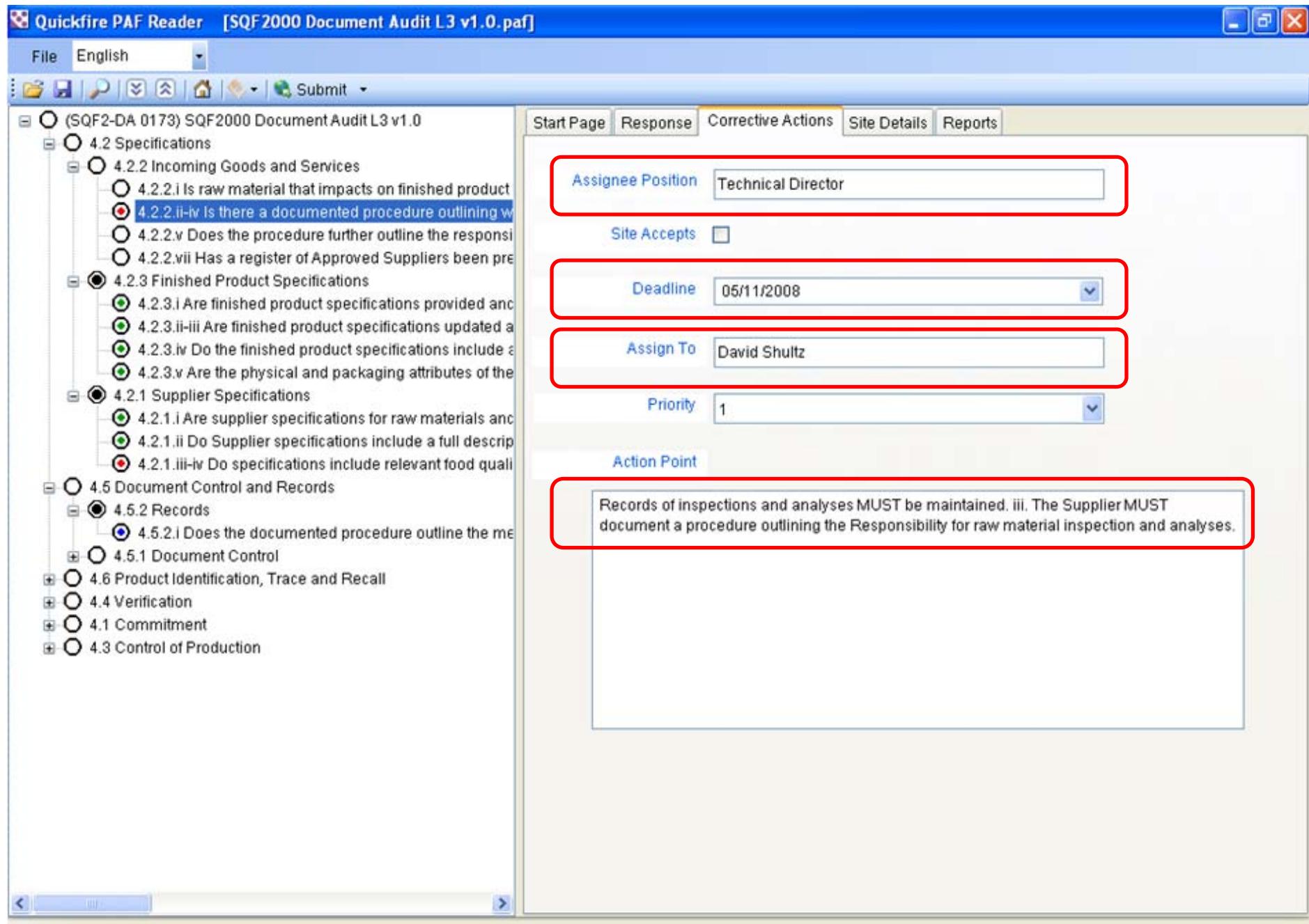
Deadline: 05/11/2008

Assign To: David Shultz

Priority: 1

Action Point:

Records of inspections and analyses MUST be maintained. iii. The Supplier MUST document a procedure outlining the Responsibility for raw material inspection and analyses.





Site > List of Non Conformances

http://quickfireonline.mbdeweb:100/FrmSiteNonconformances.aspx - Windows Internet Explorer

http://quickfireonline.mbdeweb:100/FrmSiteNonconformances.aspx

Welcome, sysadmin

RGB Foods International

My Work > Sites > Site Details > Non-conformances

Non-conformances

Actions ▾

	Audit Ref ▲ ▾	Audit Date	Audit Text ▾	Question Numbe	Question Ca	Response ▾
<input checked="" type="checkbox"/>	SQF2-DA 0173	01/Oct/2008	SQF2000 Document Audit L3	4.2.2.ii-iv	Other	Minor
<input checked="" type="checkbox"/>	SQF2-DA 0173	01/Oct/2008	SQF2000 Document Audit L3	4.6.3.i	Other	Critical
<input type="checkbox"/>	SQF2-DA 0173	01/Oct/2008	SQF2000 Document Audit L3	4.3.1.ia-b	Other	Major
<input type="checkbox"/>	SQF2-DA 0173	01/Oct/2008	SQF2000 Document Audit L3	4.2.2.i	Other	Major
<input type="checkbox"/>	SQF2-DA 0173	01/Oct/2008	SQF2000 Document Audit L3	4.2.1.iii-iv	Other	Minor

Page Size 10 Page Select 1 of 1

QF6_TEST1 [MBDEWWEB] | MBDEWWEB | #100 BETA 1.0 POWERED BY MUDDY BOOTS® INSTITUTE

Site Details > Non-conformance > Details

Details - [IE 7.0] - Windows Internet Explorer
http://devsbv01/QuickfireOfficeWeb/FrmSiteNonconformanceDetails.aspx sqfi

Details - [IE 7.0] Welcome, sysadmin

SQF SQFi Quickfire [Beta 1]

Details

My Work > Audit Groups > Non-conformances > Details

Details

Actions ▾

Non-conformance Details

Audit Type: SQF2000 Document Audit L3 Section: Management Policy

Section Observation: [No section observation]

Question Number: 4.1.1.iii Question Category: Other

Question: Does the Policy Statement further outline the Supplier's commitment to food quality and continuous improvement which is relevant to the Supplier's goals and customer requirements, to make the resources available to achieve this and support the development

Primary Response: Critical

Secondary Response:

- Resources not available
- Continuous improvement not addressed

Observation Raw material inspection process is poor and needs addressing.

Action Point

The Policy Statement shall further outline The Supplier's commitment to a Food quality and continuous improvement which is relevant to the Supplier's goals and customer requirements and make the resources available to achieve this.

LOCK icon (red box)

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SQF Quickfire Updates

- Buyer Module for SQF Quickfire
 - Buyer profile in Quickfire
 - Buyer access to supplier data once supplier chooses buyer
 - Buyer automatic notification of supplier certification status change
- ICIX interface
- SQF 2000 audit checklists available in Spanish



SQF Buyer Module - Reports



- SQFI Audit Report
 - Scored audit report (document review or facility assessment)
 - Ability to filter audit reports
 - Show entire report
 - Show only non-conformances
- Audit Response Details
 - Raw data of the audit report
 - Exportable to excel for data management

Buyer Report – SQFI Audit Report



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File Edit View Favorites Tools Help

Live Search

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Welcome g.m.smith

SQFI INSTITUTE

Buyer Reports

- SQFI Audit Report
- Audit Response D
- Corrective Action
- Full Audit Report

Site Reports

- SQFI Audit Report
- Audit Response D
- Audit Checklist
- Corrective Action
- Full Audit Report

CB \ Auditor Reports

- SQFI Audit Report
- Audit Response D
- Audit Checklist
- Corrective Action
- Audit Activity Sun
- Full Audit Report

Admin Reports

- Audits Frequency

Language: English (United States)

Site: A & H Fruit Supply

Audit Type: SQF2000 Document Audit Level 3

TreatUnansweredAs: Unanswered

Show Details: Yes

Show Unanswered: Yes No

Show Secondary: Yes No

Audit Ref: 1/2009 A&H Fruit Doc : 5/20/2009

Audit Responses:

- (Select All)
- Compliant
- Compliant with OIP
- Critical
- Exempt
- Major
- Minor

View Report

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SQF Buyer Module – Reports (cont)

- Corrective action plan
 - Access all corrective action responses from supplier
 - Track status of all correction actions
 - Exportable to excel for data management



Buyer Report – Corrective Action Plan



Microsoft Excel - V6%5FML%5FCorrective_Action_Plan[1]

File Edit View Insert Format Tools Data Window Help

Type a question for help

A1

	A	B	C	D	Required Completion Date	Actual Completion Date	G	H	J	K	L
2	Question No	Action Point	Action taken	Assign To			Priority	Status			
3	5.2.2.2	Prepare an action plan against a timeline showing the incremental improvement of the drains.	See action plan attached.	Brent Frier	5/8/2009		100	Closed			
4	5.2.3.1	Prepare an action plan against a timeline showing the incremental improvement of the walls or covers.	See action plan attached.	Brent Frier	5/8/2009		100	Closed			
5	5.2.3.2	Prepare an action plan against a timeline showing the incremental improvement of the floor/wall joints.	See action plan attached.	Brent Frier	5/8/2009		100	Closed			
6	5.2.8.1	Prepare an action plan against a timeline showing the incremental improvement of the ventilation system.	Employees were informed of the good practices to use with the stand-up fans. An internal notice was transmitted to the line supervisors.	Brent Frier - Marie-Eve Lalonde	5/8/2009		100	Closed			
7	5.2.9.2	Add appropriate work stations at the said site, identify if there is need in other processing areas and correct accordingly.	An action plan was created by Brent Frier for the ventilation system.	Brent Frier - Marie-Eve Lalonde	5/8/2009		100	Closed			
8	5.2.12.1	Install necessary number of racks (or similar apparatus).	Added shelves on several places in the plant (near the sinks, for the gloves) and racks to hang protective clothing in areas were used.	Brent Frier - Marie-Eve Lalonde	5/8/2009		100	Closed			
9	5.2.12.2	Install necessary number of racks (or similar apparatus).	Added shelves near the sinks to put the gloves when not used. Also added racks for the employees to hang their protective clothing when they leave the production zones.	Brent Frier - Marie-Eve Lalonde	5/8/2009		100	Closed			
10	5.4.1.3	In short term empty out the water from cooler and dry the area. In long term introduce necessary slope and drains in the cooler.	New cooler is in process to be built. Ingredients will be transferred in the new cooler. The old cooler will be used to store apples. A drain will be added. See action plan attached.	Brent Frier.	5/8/2009		100	Closed			
	5.4.5.3.i	Introduce required elements in the chemical	Chemical storage room will be rebuilt and	Brent Frier -	5/8/2009		100	Closed			

Sheet1 | Sheet2 |

Ready NUM

Start FMI Remote Desktop... My Work - Windows... https://quickfire-onl... SQF - Intertek pres... SQF - McDonalds U... Microsoft Excel - ... 3:15 PM

Getting Started with SQF



1. Learn about the Standard – attend a classroom “Implementing SQF 2000 Systems” training (optional)
2. Designate an employee as the SQF Practitioner – this will be the internal expert on SQF
3. Obtain proposals from potential Certification Bodies (CBs)
4. Conduct a pre-assessment – either a CB auditor or your SQF Practitioner can identify the “gaps” between your program and the desired level of SQF certification (optional)
5. Choose CB, define scope of certification (products)
6. CB conducts initial certification audits:
 - Document Review
 - Facility Assessment

SQF Initial Certification Audit



- Document Review
 - Done on-site or off-site
 - $\frac{1}{2}$ day to full day
 - All policies, documents, and procedures are to be assessed, even those managed by corporate
- Facility Assessment
 - Generally 1-3 day audits, depending on scope and size of facility
 - Auditors must spend 80% of time in the facility, focus on interviews of key personnel, GMPs, implementation of programs reviewed, validations and records

What Makes SQF Unique?



- Accreditation / Certification process
 - Oversight by Accreditation Bodies / Standard Owner
- Auditor qualification / registration process
- Audit standards / guidance documents all free for download from www.sqfi.com
- Standard Risk Based – Not Prescriptive
 - Supplier always has the option to conduct risk assessment to determine applicable controls to address hazard based on risk
- Produce Quality Management System – certified product quality at level 3 certification
- Audit frequency based on supplier performance

Annual Costs to Suppliers for SQF



Registration Fee for Certified Suppliers

- A Suppliers with a gross sales >\$50Million
- B Suppliers with a gross sales >\$25Million<\$50Million
- C Suppliers with a gross sales >\$5Million<\$25Million
- D Suppliers with a gross sales >\$1Million<\$5Million
- E Suppliers with a gross sales >\$100,000<\$1Million
- F Suppliers with a gross sales <\$100,000

\$500
\$400
\$300
\$200
\$100
\$25

Questions?

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202-220-0665

